

Douglas H. Flaum  
 FRIED, FRANK, HARRIS, SHRIVER  
 & JACOBSON LLP  
 One New York Plaza  
 New York, New York 10004  
 (212) 859-8000  
 douglas.flaum@friedfrank.com

*Attorney for Defendants*

*G. Kennedy Thompson, Ruth G. Shaw, Peter C. Browning, Robert A. Ingram, Mackey J. McDonald, Timothy D. Proctor, John D. Baker, II, John T. Casteen, III, Jerome A. Gitt, William H. Goodwin, Jr., Maryellen C. Herringer, Donald M. James, Joseph Neubauer, Ernest S. Rady, Van L. Richey, Lanty L. Smith, Dona Davis Young, and nominal defendant Wachovia Corporation*

UNITED STATES DISTRICT COURT  
 SOUTHERN DISTRICT OF NEW YORK

-----	X	
ESTATE OF JOSEPH ROMAIN,	:	08-CV-6981
	:	
Plaintiff,	:	<b>ECF CASE</b>
	:	
v.	:	<b>NOTICE OF APPEARANCE</b>
	:	
	:	
G. KENNEDY THOMPSON, RUTH G. SHAW, PETER	:	
C. BROWNING, ROBERT A. INGRAM, MACKEY J.	:	
MCDONALD, TIMOTHY D. PROCTOR, JOHN D.	:	
BAKER II, JOHN T. CASTEEN III, JEROME A. GITT,	:	
WILLIAM H. GOODWIN JR., MARYELLEN C.	:	
HERRINGER, DONALD M. JAMES, JOSEPH	:	
NEUBAUER, ERNEST S. RADY, VAN L. RICHEY,	:	
LANTY L. SMITH and DONA DAVIS YOUNG,	:	
	:	
Defendants,	:	
	:	
- and -	:	
	:	
WACHOVIA CORPORATION, a North Carolina	:	
Corporation,	:	
	:	
Nominal Defendant.	:	
-----	X	

PLEASE TAKE NOTICE that the undersigned attorney hereby appears for defendants G. Kennedy Thompson, Ruth G. Shaw, Peter C. Browning, Robert A. Ingram, Mackey J. McDonald, Timothy D. Proctor, John D. Baker, II, John T. Casteen, III, Jerome A. Gitt, William H. Goodwin, Jr., Maryellen C. Herringer, Donald M. James, Joseph Neubauer, Ernest S. Rady, Van L. Richey, Lanty L. Smith, Dona Davis Young, and nominal defendant Wachovia Corporation and should be served with copies of all papers in this action.

Dated: New York, New York  
August 11, 2008

Respectfully submitted,

FRIED, FRANK, HARRIS, SHRIVER  
& JACOBSON LLP

By: /s Douglas H. Flaum  
Douglas H. Flaum

One New York Plaza  
New York, New York 10004-1980  
(212) 859-8000  
douglas.flaum@friedfrank.com

Attorney for Defendants  
G. Kennedy Thompson, Ruth G. Shaw, Peter C. Browning, Robert A. Ingram, Mackey J. McDonald, Timothy D. Proctor, John D. Baker, II, John T. Casteen, III, Jerome A. Gitt, William H. Goodwin, Jr., Maryellen C. Herringer, Donald M. James, Joseph Neubauer, Ernest S. Rady, Van L. Richey, Lanty L. Smith, Dona Davis Young, and nominal defendant Wachovia Corporation